# **EXHIBIT A**



Neurorehabilitation Unit 1034 North 500 West Provo, Utah 84605 (801) 373-7850

# NEUROPSYCHOLOGICAL CONSULTATION REPORT

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Name: Shannon Kay Cavanaugh

**Date of birth:** July 23, 1982

Date of testing: November 11, 2008

Age at testing: 26 yrs 4/12 mo

Address: 1401 South, 1450 West / Woods Cross, UT 84087

Years of education: 12

Date of injury: December 8, 2006
Time since injury: 1 yrs 11/12 mo

**Medication:** "Topamax, Imitrax"

#### PRESENTING PROBLEM:

The patient is seen today for neuropsychological evaluation to assess current level of functioning subsequent to injuries sustained in a fall that occurred on December 9, 2006 wherein she sustained a moderate traumatic brain injury (TBI). Medical reports indicate an incident in which she was tasered and subsequently fell and struck her head on the ground. The patient was transported to the University of Utah Medical Center where she was intubated for airway maintenance and treated for an intracerebral and epidural hemotoma. Current reported symptoms include: persistent daily headaches, reduced concentration and motivation, memory difficulty, irregular bowels and "some depression that goes in phases".

#### **BACKGROUND HISTORY:**

Extensive records are available on this patient including: Initial CT head scars from University of Utah Emergency Department on the day of injury demonstrating: a right temporal epidural hematoma, approximately 1 cm; right sylvian fissure subarachnoid hemorrhage; left intraparenc hymal hermorrhage; left temporal contusion; diffuse cerebral edema, with sulcal effacement; and a small focus of pneumocephalus, with a right-sided skull based fracture.

Because of persistent symptoms, including headache, a repeat scan on December 14, 2006, indicated an increase in size of the right temporal epidural hematoma as well as midline shift and the decision was made to neurosurgically evacuate via a right craniotomy. Post-surgical MRI performed on December 15, 2006, demonstrated decrease mass effect post-epidural removal with stable left frontal and temporal lobe hemorrhages. Post-injury psychiatric records report the following diagnoses: depression NOS; alcohol dependence and eating disorder NOS. Medical history is also significant for an arm fracture (age 7), but with no reported head injury and childhood speech articulation difficulty, which resolved with maturation The patient indicates no knowledge of being diagnosed or treated for learning disability as a child and she graduated from public high school, with a high C/low B GPA. Maternal and paternal family medical histories are reportedly nonsignificant. Additional history is documented in the Neurobehavioral History Questionnaire which was completed by the patient. With regards to headaches they will occasionally reach a "10". She did not report significant headache at the beginning of the assessment, but does indicate that her head pain on a typical day rates about a "2", which is what she rated it today.

# BEHAVIORAL OBSERVATIONS/MENTAL STATUS EXAMINATION:

Appearance, Movement, and Behavior – This patient was casually groomed and casually dressed. Her motor exam appeared to be within normal limits. She also reported mild head pain after the lunch break and moderate body pain at the end of this assessment.

Orientation and Autobiographical Memory — Patient was oriented in all four spheres. Autobiographical memory appeared to be within normal limits. The patient was able to recall "leaving the house before" the accident and "two to three days later" when her breathing tube was removed.

Speech – Speech was intelligible 100% of the time. She demonstrated appropriate conversation skills and appropriate speech rate and volume.

Thought Content and Process – No apparent disturbances in content or thought processes were noted on mental status examination or during the assessment.

Affect / Mood – Depressed mood with restricted affect.

Insight / Judgment - There was no evidence of impairment during the assessment.

Validity – Patient was cooperative and appeared fully engaged throughout the entire assessment. She did not display any impairment on measures of suboptimal performance, consistently exhibiting what appeared to be full effort throughout testing. This assessment is considered to be a valid reflection of her current neurobehavioral status.

#### **TESTS ADMINISTERED:**

See attached data sheet.

#### **TEST RESULTS:**

See attached data sheet for specific scores.

#### INTELLECTUAL FUNCTIONING:

#### Wechsler Abbreviated Scale of Intelligence

	<b>Standard Score</b>	<b>Percentile</b>	
Verbal IQ	89	23	
Performance IQ	100	50	
Full Scale IQ	94	34	

Results of intellectual assessment indicate level of functioning to be generally within the average range, with relatively higher performance seen for Performance IQ. Given the history of significant TBI it may be appropriate to compare current academic and cognitive function to preinjury public school records.

#### **ACADEMIC FUNCTIONING:**

#### Wide Range Achievement Test - 4th Edition

	<b>Standard Score</b>	<u>Percentile</u>	Grade Equivalent
Word Reading	90	25	10.2
Sentence Comprehension	89	23	10.4
Spelling	90	25	9.6
Math Computation	93	32	8.7

Basic academic skill level in this patient is in the low average to average range.

# PERSONALITY/EMOTIONAL FUNCTIONING:

Psychometric assessment of personality and emotional functioning as measured by the BDI, BAI, SCL-90-R and PAI identify current depression symptoms. On the Post-Traumatic Stress Diagnostic Scale, the patient meets full criteria for Post-Traumatic Stress Disorder.

#### **NEUROPSYCHOLOGIC FUNCTIONING:**

The patient is right-side dominant. Basic motor, somatosensory and olfactory functions were within normal limits. Language function was also found to be intact as was basic visual-spatial function. However, the initial trial on the California Verbal Learning Test and the Working Memory index on the Wechsler Memory Scale were low, which are often indicators in the TBI patient for impaired attention/concentration. Likewise, executive functioning displayed variability.

#### **IMPRESSION:**

By history this patient sustained a moderate TBI with positive neuroimaging findings indicative of structural brain damage. The current neuropsychological evaluation documents neuropsychiatric and cognitive sequelae consistent with this history of moderate TBI.

Alex Cramond, M.A. Neuropsychology Intern James L. Snyder, Ph.D. Clinical Director Neuropsychology/ Rehabilitation Psychology

An J. Sugle

Erin D. Bigler, Ph.D., ABPP/CN Supervising Clinical Neuropsychologist

Tim D. Sign

TBI

#### **DATA SHEET**

Demographic Information					
Name:	Shannon Kay Cavanaugh	Yrs of Education:		12	
Date of Birth:	July 23, 1982	Date of injury:	December 8, 2006		
Gender:	Female	Time since injury:	1 yrs 11/12 mo		
Ethnicity:	Caucasian	Previously Assessed:	November 11, 2008		
Date of Testing:	November 11, 2008	Time Since Assessed:	0/12 mo		
Age at Testing:	26 yrs 4/12 mo	Medication:	Topamax, Imitrax		
Address:	1401 South, 1450 West / Woods Cross, UT 84087	Referral Source:	Attorney		

#### Halstead-Reitan Battery

Presenting Problem:

Subtest/Index	Raw
Lateral Dominance Exam	
Dominant Hand	right
Writing name -rt (sec)	7
writing name -lt (sec)	14
Dominant eye	right
Dominant leg	right
Grip Strength	
H-R GS - Dominant	23.0
H-R GS - Nondominant	22.3

Alex Cramond, M.A.

Test Administrator:

R-K Sensory-Perceptual Exam.									
Tactile	Erro	ors							
RH-LH:	R:	0	L:	0	bR:	0	bL:	0	
RH-LF:	R:	0	L:	0	bR:	0	bL:	0	
LH-RF:	R:	0	L:	0	bR:	0	bL:	0	
Auditory	Erro	Errors							
RE-LE:	R:	1	L:	0	bR:	0	bL:	0	
Visual	Erro	ors							
Above:	R:	0	L:	0	bR:	0	bL:	0	
At:	R:	0	L:	0	bR:	0	bL:	0	
Below:	R:	0	L:	0	bR:	0	bL:	0	
Visual Fields					full				

Subtest/Index	Raw	
Finger Tapping Test		
HR FTT - Dom	49	
HR FTT - Nondom	50	
Trail Making Test		
Version	adult	
HR - Trail A (sec)	21	
HR - Trail A (err)	0	
HR - Trail B (sec)	64	
HR - Trail B (err)	2	

R-K	Sensor	y-Per	ceptua	al Ex	am.		(cntd	)		
Tactil	e Finge	r Red	Rec Errors							
R:	F1:	0	F2:	0	F3:	0	F4:	0	F5:	0
L:	F1:	0	F2:	0	F3:	0	F4:	0	F5:	0
Finger Tip # Write - Errors										
R:	F1:	0	F2:	1	F3:	1	F4:	1	F5:	0
L:	F1:	0	F2:	0	F3:	1	F4:	0	F5:	0

# R-I Aphasia Screening Test Errors:

**Pocket Smell Test** 

Measure/Subtest	Raw
Pocket Smell Test (/3)	2

# **Validity Measures**

Measure/Subtest	Raw	
Test of Memory Malingering		
Trail 1	50	
Trial 2	50	
Delayed	-	

Measure/Subtest	Raw
Rey - 15 Item Test	
# correct	15

# Wechsler Abbreviated Scale of Intelligence - WASI

Subtest/Index	Raw	Scaled
WASI-vocabulary	47	40
WASI-similarities	33	46
WASI-block design	50	54
WASI-matrix reasoning	24	47

Subtest/Index	Raw	Scaled
WASI-Verbal Scale	86	89
WASI-Performance Scale	101	100
WASI-Full Scale	187	94

# Wechsler Memory Scale, Third Edition - WMS -III (with optional subtests)

Subtest/Index	Raw	Scaled	Subtest/Index	Raw	Scaled
WMS-III - Information Orientation	14	67 (%ile)	WMS-III - Letter Number Sequencing	9	8
WMS-III - Logical Memory 1	38	10	WMS-III - Digit Span	17	10
WMS-III - LM1 1st recall	21	9	WMS-III - (Longest Digits Forward)	7	.24 (Z)
WMS-III - LM1 learning slope	6	10	WMS-III - (Longest Digits Backward)	4	64 (Z)
WMS-III - LM1 - theme	15	8	WMS-III - Spatial Span	15	8
WMS-III - Logical Memory 2	23	10	WMS-III - Spatial Span - forward	10	11
WMS-III - LM2 % retention	85	10	WMS-III - Spatial Span - backward	5	6
WMS-III - LM2 - theme	9	7	WMS-III - Faces 1	41	11
WMS-III - Verbal Paired Associates 1	21	10	WMS-III - Faces 2	37	9
WMS-III - VPA1 1st recall	0	6	WMS-III - Faces 2 - % retention	90	4
WMS-III - VPA learning slope	8	17	WMS-III - Family Pictures 1	45	9
WMS-III - Verbal Paired Associates 2	8	13	WMS-III - Family Pictures 2	49	10
WMS-III - VPA2 % retention	100	12	WMS-III - Auditory Immediate	20	99
WMS-III - Auditory Recognition Delayed	49	9	WMS-III - Visual Immediate	20	100
WMS-III-APC - single trial learning	15	14 (%ile)	WMS-III - Immediate Memory	40	100
WMS-III-APC - learning slope	27	92 (%ile)	WMS-III - Auditory Delayed	23	108
WMS-III-APC - retention	22	63 (%ile)	WMS-III - Visual Delayed	19	97
WMS-III-APC - retrieval	-3	16 (%ile)	WMS-III - Aud Rec Delayed	9	95
			WMS-III - General Memory	51	101
			WMS-III - Working Memory	16	88

# California Verbal Learning Test, Second Edition (CVLT-II), Standard Form

Subtest/Index	Raw	Scaled
CVLT Trial 1	7	-0.5
CVLT Trial 2	10	0.0
CVLT Trial 3	13	0.5
CVLT Trial 4	14	0.5
CVLT Trial 5	13	-0.5
CVLT Total 1-5	57	53 (T)
CVLT Trial b	6	-0.5
CVLT Short Free	11	-0.5
CVLT Short Cued	11	-1.0
CVLT Long Free	12	0.0
CVLT Long Cued	12	-0.5
CVLT Semantic Clustering	-1	-1.5
CVLT Serial Clustering fd	2	1.0
%primacy	26	-0.5
%middle	44	-0.5
%recency	30	1.0

Subtest/Index	Raw	Scaled
CVLT learning slope	2	0.0
CVLT recall consistency	89	1.0
CVLT b v 1	-14	0.0
CVLT short delay free v 5	-15	0.0
CVLT long delay free v 5	-8	0.5
CVLT long v short delay free	9	0.5
CVLT total repetitions	11	1.0
CVLT total intrusions	9	1.5
CVLT recognition hits	4	2.0
CVLT recognition false positives	0	-0.5
CVLT recognition discrimination	4	-0.5
CVLT forced choice %accuracy	0	(%ile)
CVLT free recall v forced	0	(%ile)
CVLT recognition v forced	0	(%ile)

#### **Boston Naming Test**

Subtest/Index	Raw
Boston Naming Test	52

#### **Hooper Visual Organization Test**

Hooper 25.5	51 (T)

#### Rey Complex Figure Test and Recognition Trial - Myers Version

Subtest/Index	Raw	T/%ile
Rey - Copy	30	1 (%ile)
Rey - Delayed Recall	11	-3. (Z)
Rey - Recognition Total	10	.3 (Z)

#### Wide Range Achievement Test, 4th Edition - WRAT-4

Subtest/Index	Raw	Scaled	Gd Eq
Word Reading	55	90	10.2
Sentence Comprehension	42	89	10.4
Spelling	38	90	9.6

Subtest/Index	Raw	Scaled	Gd Eq
Math Computation	41	93	8.7
Reading Composite	179	88	

# Delis-Kaplan Executive Functioning System (D-KEFS) - Standard Form

Subtest/Index	Raw	Scaled	Subtest/Index	Raw	Scaled
D-KEFS-Verbal Fluency			D-KEFS Color-Word Interference Test		
D-KEFS-VF - letter f	12		1. Uncorrected errors	0	
D-KEFS-VF - letter a	12		1. Corrected errors	0	
D-KEFS-VF - letter s	19		DKEFS CWIT- color naming (sec)	22	13
D-KEFS-VF - letter-mean	14.3		2. Uncorrected errors	2	
D-KEFS-VF - letter-total	43	12	2. Corrected errors	0	
D-KEFS-VF - Category	42	1	DKEFS CWIT- word reading (sec)	21	11
D-KEFS-VF - Switching responses	14	11	3. Uncorrected errors	1	
D-KEFS-VF - Switching accuracy	13	11	3. Corrected errors	1	
D-KEFS-VF - letter v category	11	11	DKEFS CWIT- inhibition (sec)	51	10
D-KEFS-VF - switching v category	10	19	4. Uncorrected errors	1	. ,
D-KEFS-Twenty Questions Test			4. Corrected errors	0	
D-KEFS-20Q, abstraction	24	9	DKEFS CWIT- inhibition/switch (sec)	49	12
D-KEFS-20Q, total questions	23	12	DKEFS CWIT- inhibition all errors	2	9
D-KEFS-20Q, achievement	18	14	DKEFS CWIT- inhibition/switch all errors	1	11
D-KEFS-Word Context Test			Combined name + read	24	12
D-KEFS-Word Context Total	13	5	DKEFS CWIT- inhibition v color naming	-3	7
			DKEFS CWIT- inhibition/switch v comb.	0	10
			DKEFS CWIT- inhibition/switch v inhibit	2	12

# Behavior Rating Inventory of Executive Function - Adult Version

Scale/Index	Raw	Scaled
Teacher Form		
Respondent:	Pa	atient
Brief-A- Inhibit	14	57
Brief-A- Shift	13	69
Brief-A- Emotional Control	23	67
Brief-A- Self-Monitor	13	67
Brief-A-BRI	63	68
Brief-A- Initiate	19	73
Brief-A- Working Memory	20	79
Brief-A- Plan/Organize	21	68
Brief-A- Task Monitor	12	63
Brief-A- MI	92	69
Brief-P- GEC	155	73

# Symptom Checklist - 90 - Revised

		<del></del>											
Scale	raw	T		Scale	raw	T		Scale	raw	T	Scale	raw	T
SOM	1.25	65		DEP	1.31	65		PHOB	0.71	65	GSI	1.16	67
O-C	1.80	70		ANX	1.00	65		PAR	1.17	66	PSDI	1.70	59
I-S	1.33	68		HOS	0.33	54		PSY	0.90	69	PST	61	69
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#### **Personality Assessment Inventory**

Scale	raw	<u>T</u>	Scale	raw	<u>T</u>	Scale	raw	<u>T</u>	Scale	raw	T
ICN	7	55	ARD	25	56	ANT	11	48	NON	4	48
INF	0	40	DEP	33	70	ALC	6	52	RXR	17	57
NIM	4	59	MAN	9	35	DRG	1	44	DOM	13	36
PIM	11	41	PAR	23	55	AGG	5	38	WRM	24	51
SOM	30	69	SCZ	19	56	SUI	6	56			***************************************
ANX	31	64	BOR	28	60	STR	11	62			

#### **Beck Inventories**

Inventory	Raw	Range
Beck Depression Inventory-II	33	severe
Beck Anxiety Inventory	5	minimal

# Posttraumatic Stress Diagnostic Scale

Area	Rating	
excessive omits?	no	
Exposure	met	
Reexperiencing	met	
Avoidance	met	
Arousal	met	
<b>Duration/Onset</b>	met	
Distress/Func	met	
PTSD Criteria	met	

Area	Rating
Duration	chronic
Delayed Onset	no
Func Impairment	severe
Num. of Symptom	13
Symptom Severity	21
Sympt. Sev Rating	mod to sev
Traumatic Event	serious accident

# Measurements

Height 62 in.
Weight 120 lbs.

#### Additional Forms Completed / Sent

Form	Completed / Sent	Completed by:
Neurobehavioral History	Completed	Self
Neurobehavioral Signs and Symptoms	Completed	Self